IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David A. Seaman Examiner: Richard C. Weisberger

Application No.: 09/769,999 Confirmation No.: 5423

Filed: January 25, 2001 Group Art Unit: 3693

For: METHOD AND SYSTEM FOR ADMINISTERING

A DISCOUNTED SECURITY

Mail Stop AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REPLY TO OFFICE ACTION UNDER 37 C.F.R. § 1.116

Sir:

In response to the Advisory Action dated May 20, 2009 received in connection with the above-identified pending patent application, Applicant respectfully submits herewith for consideration the following listed amendment parts, which are detailed in this paper pursuant to the requirements prescribed under 37 C.F.R. §1.121:

AMENDMENT TO THE CLAIMS, beginning on page 2 of this paper.

REMARKS, beginning on page 10 of this paper.